

National Bank Mutual Funds Class Action  
 c/o RicePoint Administration, Inc.  
 P.O. Box 3355  
 London (Ontario), N6A 4K3



**NBQ**

# OPT-OUT FORM

Must Be Postmarked or Received No Later Than December 23, 2022

## CLAIMANT INFORMATION

|                           |  |  |            |           |             |  |
|---------------------------|--|--|------------|-----------|-------------|--|
| First Name                |  |  | M.I.       | Last Name |             |  |
| Primary Address           |  |  |            |           |             |  |
| Primary Address Continued |  |  |            |           |             |  |
| City                      |  |  |            | Province  | Postal Code |  |
| Email Address             |  |  |            |           |             |  |
| Telephone Number          |  |  | Fax Number |           |             |  |

## NATIONAL BANK MUTUAL FUNDS CLASS ACTION

Complete and return this Opt-Out Form by no later than December 23, 2022, ONLY IF YOU DO NOT WISH TO PARTICIPATE IN THE CLASS ACTION. It must be postmarked by no later than December 23, 2022.

Please provide the below information on the National Bank Mutual Fund or NBI Private Portfolio Mutual Fund units that you hold or held through a Discount Broker. Please use additional paper if necessary.

| Name of the National Bank Mutual Fund(s) or NBI Private Portfolio Mutual Fund(s) Currently or Previously Held | Fund Code | Date of Acquisition (mm/dd/yyyy) | Date of Disposition (mm/dd/yyyy) (if applicable) | Current Number of Units (if applicable) |
|---|-----------|----------------------------------|--|---|
|   |           |                                  |  |   |
|   |           |                                  |  |   |
|   |           |                                  |  |   |
|   |           |                                  |  |   |
|   |           |                                  |  |   |



|                            |                         |                         |  |   |
|----------------------------|-------------------------|-------------------------|--|---|
| FOR CLAIMS PROCESSING ONLY | OB <input type="text"/> | CB <input type="text"/> | <input type="radio"/> DOC<br><input type="radio"/> LC<br><input type="radio"/> REV | <input type="radio"/> RED<br><input type="radio"/> A<br><input type="radio"/> B |
|----------------------------|-------------------------|-------------------------|--|---|

| Name of the National Bank Mutual Fund(s) or NBI Private Portfolio Mutual Fund(s) Currently or Previously Held | Fund Code | Date of Acquisition (mm/dd/yyyy) | Date of Disposition (mm/dd/yyyy) (if applicable) | Current Number of Units (if applicable) |
|---|-----------|----------------------------------|--|---|
|   |           |                                  |  |   |
|   |           |                                  |  |   |
|   |           |                                  |  |   |
|   |           |                                  |  |   |
|   |           |                                  |  |   |

(PLEASE FILL IN THE APPROPRIATE LANGUAGE)

I believe that  I am /  the organization that I represent is a member of the Class in the Class Action.

I believe that  I am not /  the organization that I represent is not amongst the persons and entities excluded from the Class Action.

I understand that by opting out of the Class Action, **I will not be eligible / the organization that I represent will not be eligible** for any benefit that may be available to the Class upon resolution of this matter, if and when such resolution may occur.

I,  (print your full name), **OPT OUT FROM THE CLASS ACTION** and wish to be excluded from this Class Action.

I wish to opt out from this Class Action for the following reason(s) *(optional)*:

  

  


I,  (print your full name), **CERTIFY** that the information provided herein is complete and true.

Signature: \_\_\_\_\_

Dated (mm/dd/yyyy): \_\_\_\_\_

Print Name: \_\_\_\_\_

**In order to validly opt out, you must complete and send this Opt-Out Form postmarked by no later than December 23, 2022 to:**

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