

SECTION V: AM I AN ELIGIBLE CLASS MEMBER?

There are 3 parts to this section. Please complete ①, ②, and ③

Threshold Evidence

Check only those that apply

①

Primary Claimants must satisfy one or more of the threshold requirements for **evidence of FPS¹ ingestion over 45mL:**

- Medical record indicating a procedure requiring a bowel preparation was performed and proof of contemporaneous purchase of FPS
- Medical record of pre-procedure consultation, procedure records, or post procedure records, evidencing use of FPS
- General bowel preparation instructions for use of FPS together with a medical record evidencing a procedure requiring a bowel preparation
- Physician declaration of use of more than 45mL of FPS in a 24 hour-period as a bowel preparation
- Medical record indicating a procedure requiring a bowel preparation was performed, an affidavit from claimant as to use of more than 45ml of FPS in 24-hours in connection with such procedure, and a declaration that due diligence was exercised in attempting to obtain the bowel preparation instruction utilized by claimant.

PLEASE LIST ALL INSTANCES OF FPS INGESTION

	Date	Time	Substance Ingested	Quantity (mL)
1.				
2.				
3.				
4.				
5.				

¹FPS refers to any and all Fleet products containing Fleet® Phospho-soda®.

AM I AN ELIGIBLE CLASS MEMBER? CONTINUED

Check only those that apply

②

Primary Claimants must satisfy one or more of the threshold requirements for **evidence of FPS-related renal injury:**

- If claimant had a post-ingestion kidney biopsy², biopsy must demonstrate the following:
 - tubular necrosis and/or interstitial fibrosis;
 - calcium phosphate deposition; and
 - clinical assessment of Acute Phosphate Nephropathy (“APN”) or nephrocalcinosis or Acute Kidney Injury (“AKI”) in biopsy or other medical record³
- In the absence of a biopsy, case specific expert report stating that use of more than 45mL FPS as a bowel preparation caused or contributed to claimant’s renal impairment
- In the absence of a biopsy, medical records diagnosing patient with kidney injury, which injury was caused or contributed to by the use of FPS bowel preparation or the medical procedure for which FPS bowel preparation was used

Check only those that apply

③

Primary Claimants must not be subject to one of the following exclusions:

- Claimant is unable to satisfy one or more of the threshold requirements for evidence of use of more than 45 mL of FPS in a 24-hour period or one or more of the threshold requirements for evidence of FPS-related renal injury
- Baseline eGFR⁴ is 29 or lower
- Claimant first directed to use FPS as bowel cleanser after March 5, 2009
- In non transplant cases, current eGFR is 60 or greater
- In non-transplant, non-death and non dialysis cases, no lab results measuring creatinine values or eGFR within 6 months of the date the claim is submitted.
- In non-transplant cases, where claimant’s serum creatinine or eGFR returned to or improved upon claimant’s baseline serum creatinine or eGFR within 12 months of ingestion.
- None of the above apply

STOP HERE (YOU ARE NOT ELIGIBLE) IF:

**YOU DID NOT CHECK AN OPTION IN ①, OR
YOU DID NOT CHECK AN OPTION IN ②, OR
YOU DID NOT CHECK “NONE OF THE ABOVE” IN ③**

²Claimant who underwent post-ingestion renal biopsy shall only be considered for settlement if biopsy results are produced. Upon request, biopsy materials shall be provided for review.

³Can also be demonstrated by declaration or report upon review of biopsy report or biopsy materials.

⁴“Baseline eGFR” means the most recent eGFR prior to ingestion of FPS as indicated: (1) on lab report; or (2) otherwise calculated using MDRD scale.

SECTION VI: WHAT IS THE TYPE OF INJURY BEING CLAIMED?

Injury Categories

Please list last known GFR or serum creatinine: _____.

Date of most recent GFR test or serum creatinine valve: / /

M M / D D / Y Y Y Y

Check the highest category that applies (check only one)

	Category	Injury sustained	Required Documentation
<input type="radio"/>	IX	Wrongful Death	See Table Below
<input type="radio"/>	VIII	Long term/permanent dialysis ⁵	See Table Below
<input type="radio"/>	VII	Renal transplant ⁶	See Table Below
<input type="radio"/>	VI	Chronic Kidney Disease (“CKD”) Stage IV: GFR of 19 or below	Medical Records showing GFR or serum creatinine valve within last 6 months
<input type="radio"/>	V	CKD Stage IV: GFR 20 to 29	Medical Records showing GFR or serum creatinine valve within last 6 months
<input type="radio"/>	IV	CKD Stage III (d): GFR 30 to 35	Medical Records showing GFR or serum creatinine valve within last 6 months
<input type="radio"/>	III	CKD Stage III(c): GFR 36-44	Medical Records showing GFR or serum creatinine valve within last 6 months
<input type="radio"/>	II	CKD Stage III (b): GFR 45-54	Medical Records showing GFR or serum creatinine valve within last 6 months
<input type="radio"/>	I	CKD Stage III (a): GFR 55-59	Medical Records showing GFR or serum creatinine valve within last 6 months

DOCUMENTS REQUIRED FOR SPECIFIC INJURY

Injury sustained	Required Documents
Wrongful Death	Death certificate or autopsy report establishing that the claimed renal injury was the primary cause of the Primary Claimant’s death. If death certificate or autopsy report does not identify renal injury as the primary cause of the Primary Claimant’s death, the compensable injury shall be governed by the Primary Claimant’s last known eGFR related to post-FPS ingestion renal injury.
Long Term/ Permanent Dialysis	(i) Medical records establishing that Primary Claimant has completed more than 3 years of dialysis; or (ii) The Primary Claimant is on dialysis less than 3 years, and medical records or treating physician affidavit establishes that the Primary Claimant is ineligible for a transplant or that renal transplant is not feasible.
Renal Transplant	(i) Medical records confirm actual transplant; or (ii) Medical records confirm end stage kidney failure: (A) eGFR of 19 and below together with proof of acceptance on a renal transplant waiting list; or (B) eGFR of 19 and below together with proof of approved living donor.

⁵This category includes claimants who have already had 3 years of dialysis and claimants who have had less than 3 years of dialysis, but whose medical records or treating physician affidavit establishes that a renal transplant is not feasible or that claimant is ineligible for a renal transplant.

⁶This category includes claimants who: (1) actually have had a transplant; (2) have an eGFR of 19 or below, are on a renal transplant list, and are actively awaiting a transplant; or (3) have an eGFR of 19 or below, have a living donor, and are actively awaiting a transplant.

SECTION VII: DIAGNOSIS INFORMATION

On what date was the claimant advised or aware of their diagnosis of kidney injury and that such injury was associated with the use of FPS bowel preparation? (Only applicable if there is either a medical or other record indicating so)

Date

/ /
M M D D Y Y Y Y

Section A: Baseline and Last Known GFR

* Please provide lab results from lab reports, if these are unavailable please provide lab results documented in the medical record. If the claimant believes that a lab report contains an error, it is the claimant's burden to establish same by submission of credible medical evidence for consideration (e.g., a doctor's statement, contemporaneous medical records showing that the physician(s) at the time considered the lab report to be in error, or other such evidence demonstrating that the record is in error)

Baseline eGFR and Creatinine (Last measured eGFR and Creatinine prior to ingestion.
Please provide both if available.)

eGFR: _____

Creatinine value: _____

Source: lab report or, medical record

Source: lab report or, medical record

Date: / /
M M D D Y Y Y Y

Date: / /
M M D D Y Y Y Y

Last known eGFR and Creatinine (Least measured eGFR, must be from within the last six months unless the claimant is on permanent dialysis, has received a transplant, or is deceased):

eGFR: _____

Creatinine value: _____

Source: lab report or, medical record

Source: lab report or, medical record

Date: / /
M M D D Y Y Y Y

Date: / /
M M D D Y Y Y Y

Section B – Biopsy Findings (Complete this section only if a biopsy was performed)

<input type="radio"/>	Biopsy finding of APN as per threshold criteria and <ul style="list-style-type: none"> • Biopsy report (or addendum thereto or subsequent review of biopsy) reflects that the biopsy is consistent with the diagnosis of APN; or • Expert report or medical record reporting that biopsy reflects APN
<input type="radio"/>	Biopsy finding showing calcium phosphate deposition is < 30 tubular lumina in a sample size of eight or more glomeruli
<input type="radio"/>	In addition to APN, renal biopsy includes findings of one or more of the following: <ul style="list-style-type: none"> • active and/or chronic interstitial nephritis with interstitial inflammation associated with significant tubulitis • calcium-oxylate deposition is equal to or exceeds the number of calcium-phosphate deposition where the calcium phosphate deposition is > 30 tubular lumina in a sample size of eight or more glomeruli • calcium-oxylate deposition is at least half the number of calcium-phosphate deposition where the calcium phosphate deposition is < 30 tubular lumina in a sample size of eight or more glomeruli
<input type="radio"/>	A post-ingestion biopsy report reflects a contrast agent inducing injury and claimant has actually used a contrast agent between last known creatinine and diagnosis of ARF (Acute Renal Failure)

Section C – Post-Ingestion Lab Results in Absence of Biopsy (Complete this section only if a biopsy was not performed)

<input type="radio"/>	Last pre-ingestion lab results DO NOT demonstrate hyperphosphatemia or hypocalcemia AND Lab results with 48 hours of first ingestion demonstrate hyperphosphatemia or hypocalcemia.
<input type="radio"/>	Last pre-ingestion lab results DO NOT demonstrate hyperphosphatemia or hypocalcemia AND Lab results with 48 hours of first ingestion DO NOT demonstrate hyperphosphatemia or hypocalcemia.
<input type="radio"/>	At the time of initial post-ingestion diagnoses of renal disease, the first lab results after ingestion show (select all that apply): <ul style="list-style-type: none"> <input type="radio"/> Proteinuria (defined as +3 or more with dipstick; or 1 gram/1000 milligrams or more protein with macroscopic analysis) <input type="radio"/> Hematuria (defined as +3 or more on a dipstick; or moderate or more of blood with macroscopic analysis; or more than 3 red blood cells (RBCs) with microscopic analysis) <input type="radio"/> Active urine sediment⁷ (defined as abnormal finding of casts, crystals, eosinophils, pyoria or dysmorphic RBCs)
<input type="radio"/>	Claimant has used a contrast agent between baseline creatinine and diagnosis of Acute Renal Failure (“ARF”)
<input type="radio"/>	At the time of ingestion, claimant had a diagnosis of acute or chronic nephritis
<input type="radio"/>	Claimant has or had a diagnosis of acute or chronic nephritis
<input type="radio"/>	Claimant has or had a diagnosis of active light chain, myeloma or autoimmune kidney disease

Section D – Bowel Preparation

<input type="radio"/>	Threshold evidence concerning use of more than 45mL of FPS in 24-hour period is based solely on a medical record indicating a procedure requiring a bowel preparation was performed and an affidavit from claimant as to use of more than one 45ml dose of FPS in connection with such procedure
<input type="radio"/>	More than 45 mL of FPS was ingested in a single dose
<input type="radio"/>	More than a total of 90 mL of FPS was ingested in 24 hours
<input type="radio"/>	Ingestion occurred after March 19, 2002 AND Claimant ingested a concomitant oral sodium phosphate product, sodium phosphate enema, or another purgative for bowel preparation
<input type="radio"/>	An additional non-sodium phosphate laxatives was used as part of bowel preparation
<input type="radio"/>	Less than 5 hour separation between ingestion of FPS doses
<input type="radio"/>	Less than 4 hour separation between ingestion of FPS doses

Section E – Medical Conditions at Time of Ingestion

<input type="radio"/>	At time of ingestion, patient has been diagnosed with one or more of the following: Megacolon Congestive heart failure Bowel obstruction AND Ingestion occurred after March 19, 2002 Ascites AND Ingestion occurred after March 19, 2002
<input type="radio"/>	At time of ingestion, patient suffered from, or was previously diagnosed with, Crohn's disease or colitis AND Ingestion occurred after January, 2003
<input type="radio"/>	At the time of ingestion, the claimant was under the age of 12 years old

Section F – Hyperparathyroidism (Only complete if claimant suffered secondary hyperparathyroidism due to FPS ingestion)

<input type="radio"/>	Claimant required surgery related to secondary hyperparathyroidism diagnosed post-FPS ingestion ⁸
<input type="radio"/>	Claimant required medical treatment for secondary hyperparathyroidism diagnosed post-FPS ingestion ⁹
<input type="radio"/>	The claimant is still receiving medical treatment for secondary hyperparathyroidism diagnosed post-FPS ingestion.
<input type="radio"/>	If the claimant is still receiving medical treatment, please select which of the following medications the claimant is taking: Common phosphate binders: Aluminium hydroxide (Alucaps) Calcium carbonate (Calcichew, Titalac) Calcium acetate (Phosex, PhosLo) Lanthanum carbonate (Fosrenol) Sevelamer (Renagel, Renvela)

⁸Requires medical record or expert report establishing relationship to post colonoscopy CKD

⁹Requires medical record or expert report establishing relationship to post colonoscopy CKD

Section F – continued

<input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/>	<p>Common “Active forms of Vitamin D” (prescription medication not multi-vitamin/vitamin-D or calcium OTC supplements):</p> <p>Calcidiol (also sometimes called calcifediol or 25-hydroxy Vitamin D with the brand names of Calderol, Decostriol and Dedrogyl)</p> <p>Dihydroxycholesterol (DHT Intensol)</p> <p>Calcitriol (One Alpha, Rocaltrol and/or Calcijex when injected)</p> <p>Doxercalciferol (Hectorol)</p> <p>Paricalcitol (Zemplar)</p> <p>Common calcimimetics:</p> <p>Sensipar (cinacalcet) NPS R-467 or NPS R-568</p>
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Section G: Anemia (Only Complete if Claimant suffered Anemia due to FPS ingestion)

<input type="radio"/>	Claimant was diagnosed with anemia secondary to FPS-induced renal impairment, requiring continuing treatment
<input type="radio"/>	Primary Claimant was diagnosed with anemia at the time of FPS ingestion.
<input type="radio"/>	Claimant is receiving continuing treatment with either EPO-therapy and/or iron supplements.

Section H: Dialysis (Only complete if claimant required, or continues to require, dialysis due to FPS ingestion)

<input type="radio"/>	Claimant has previously undergone short-term dialysis involving twenty-five or more sessions
<input type="radio"/>	Claimant has previously undergone short-term dialysis involving between one and twenty-four sessions
<input type="radio"/>	Claimant has previously undergone sodium thiosulfate infusion treatment
<input type="radio"/> <input type="radio"/> <input type="radio"/>	<p>Claimant is presently on dialysis (if so, please indicate duration of dialysis)</p> <p>Up to on year of dialysis completed</p> <p>1-2 years of dialysis completed</p> <p>2-3 years of dialysis completed</p>

SECTION VIII: CONSENT FOR RELEASE OF MEDICAL INFORMATION

Primary Claimant

FLEET PHOSPHO-SODA® CLASS ACTION SETTLEMENT
Consent for Release of Medical Information

** (Primary Claimants must complete and submit this form) **

TO: _____ (LEAVE BLANK)
(Doctor, Hospital or Health Care Professional)

ADDRESS: _____ (LEAVE BLANK)
_____ (LEAVE BLANK)

TELEPHONE: _____ (LEAVE BLANK)

I _____ D.O.B. _____, HEALTH CARD # _____
(print name) (DD/MM/YYYY) (Insert health card number)

HEREBY AUTHORIZE AND DIRECT you to provide NPT RicePoint Class Action Services with a complete copy of my medical file with respect to my physical condition and treatment, including opinions, clinical notes and records, test results, (including all available lab results and medical records demonstrating renal function, renal function prior and subsequent to FPS ingestion, and medical history prior and subsequent to FPS ingestion) copies of pharmaceutical prescription dispensing records, copies of medical records from any hospital attended, account of treatments, referrals and prognosis.

I understand that:

- This information will be used only to assess my eligibility under the Fleet Phospho-Soda ® Class Action Settlement and the amount of compensation, if any, to which I may be entitled.
This information is confidential and, except as required by law, will be used and disclosed only for the purpose of administering the Settlement.
I understand why I have been asked to disclose this information and am aware of the risks of benefits of consenting or refusing to consent to disclose this information.
I may revoke (take back) this Authorization in writing at any time by faxing or mailing a signed letter of revocation to NPT RICE POINT Class Action Services at :

NPT RicePoint Class Action Services
P.O. BOX 3355
London, Ontario N6A 4K3
Phone: 1-866-432-5534
Fax: 519-432-6544
Email: fleet@nptricepoint.com
www.fleetphosphosodaaction.com

Dated the _____ day of _____, 20 _____

Signature: _____

Witness' Signature: _____

SECTION VIII: CONSENT FOR RELEASE OF MEDICAL INFORMATION

Representative Claimant

**FLEET PHOSPHO-SODA® CLASS ACTION SETTLEMENT
Consent for Release of Medical Information**

**** (Representative Claimants must complete and submit this form) ****

TO: _____ (LEAVE BLANK)
(Doctor, Hospital or Health Care Professional)

ADDRESS: _____ (LEAVE BLANK)
_____ (LEAVE BLANK)

TELEPHONE: _____ (LEAVE BLANK)

REGARDING: _____ (LEAVE BLANK)

D.O.B. _____, **HEALTH CARD #** _____
(DD/MM/YYYY) (Insert health card number)

I am the: (check one):

- Estate Representative of the above-noted individual OR
- Litigation Guardian or Tutor for the above-noted individual

I, _____, HEREBY AUTHORIZE AND DIRECT you to
(print name)

provide NPT RicePoint Class Action Services with a complete copy of my medical file with respect to my physical condition and treatment, including opinions, clinical notes and records, test results, (including all available lab results and medical records demonstrating renal function, renal function prior and subsequent to FPS ingestion, and medical history prior and subsequent to FPS ingestion) copies of pharmaceutical prescription dispensing records, copies of medical records from any hospital attended, account of treatments, referrals and prognosis.

I understand that:

- This information will be used only to assess my eligibility under the Fleet Phospho-Soda ® Class Action Settlement and the amount of compensation, if any, to which I may be entitled.
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- I understand why I have been asked to disclose this information and am aware of the risks of benefits of consenting or refusing to consent to disclose this information.
- I may revoke (take back) this Authorization in writing at any time by faxing or mailing a signed letter of revocation to NPT RICE POINT Class Action Services at :

NPT RicePoint Class Action Services
P.O. BOX 3355
London, Ontario N6A 4K3
Phone: 1-866-432-5534
Fax: 519-432-6544
Email: fleet@nptricepoint.com
www.fleetphosphosodaaction.com

Dated the _____ day of _____, 20 _____

Signature: _____

Witness' Signature: _____

PRIMARY CLAIMANT CHECKLIST

(Please check off all applicable boxes below concerning your claim)

- Claim Form (sections I, V-IX and IV if applicable)
- All available lab results and medical records demonstrating:
 - (a) Current renal function;
 - (b) Renal function prior and subsequent to FPS ingestion; and
 - (c) The Primary Claimant's medical history prior and subsequent to FPS ingestion.
 - (d) In the event that a Primary Claimant is unable to obtain medical records or lab results demonstrating Primary Claimant's renal function in the two years prior to ingestion, the Primary Claimant shall submit an affidavit as to the efforts undertaken to obtain such information and the reasons it could not be obtained.
- In all non-permanent dialysis, non-transplant or non-death cases, lab results supporting the compensable injury category assignment shall be dated within 6 months of the date the claim is filed
- Medical Direction Consent Form

REPRESENTATIVE CLAIMANT CHECKLIST

- Claim Form (sections I, II, V-IX and IV if applicable)
 - Proof of Representative Capacity
 - All Primary Claimant Data (see above "Primary Claimant" checklist)
- Medical Direction Consent Form

DERIVATIVE CLAIMANT CHECKLIST

- Claim Form (sections I, III, IX and IV if applicable)
 - Proof of Relationship to Fleet Recipient
 - Documentation
 - Birth Certificate; and/or
 - Marriage Certificate; and/or
 - Other

What should I submit with the Claim Form?

To become an Eligible Primary Claimant, the following information should be submitted:

1. All available lab results and medical records demonstrating:
 - (a) Current renal function;
 - (b) Renal function prior and subsequent to FPS ingestion; and
 - (c) The Primary Claimant's medical history prior and subsequent to FPS ingestion.
 - (d) In the event that a Primary Claimant is unable to obtain medical records or lab results demonstrating Primary Claimant's renal function in the two years prior to ingestion, the Primary Claimant shall submit an affidavit as to the efforts undertaken to obtain such information and the reasons it could not be obtained.

2. In all non-permanent dialysis, non-transplant or non-death cases, lab results supporting the compensable injury category assignment shall be dated within 6 months of the date the claim is filed. Determination of a Primary Claimant's compensable injury category and current medical status shall be based upon the information provided on the date the claim is submitted, unless the claim is determined to be deficient. Neither the Claimant nor Fleet shall be permitted to supplement the initial claim submission with a lab report or medical status or other data obtained after the claim is submitted, except in the limited circumstances where: (1) there is proof that the most current lab report and/or medical status had been requested prior to filing the claim, and (2) the requested lab report and/or medical status were not timely supplied by the healthcare provider.